



**OCBC Group**  
**Regulatory Capital Position**  
**As at 30 September 2016**

S\$'m

	Amount	Amount subject to Pre-Basel III Treatment	Source
<b>Tier 2 capital: instruments and provisions</b>			
46	2,892		l
47	2,053		m
48	242		n
49	233		
50	696		o
51	5,883		
<b>Tier 2 capital before regulatory adjustments</b>			
<b>Tier 2 capital: regulatory adjustments</b>			
52	-		
53	-		
54	-		
55	-	-	
56	2,041		
56A	-	-	
56B	-		
56C	2,041		
	-		
	-		
	-		
	-		
	2,041		
	-		
	-		
	-		
57	2,041		
58	3,843		
59	33,579		
60	189,775		
<b>Capital ratios (as a percentage of risk weighted assets)</b>			
61	15.1%		
62	15.6%		
63	17.6%		
64	7.2%		
65	0.6%		
66	0.1%		Refer to note <sup>2</sup>
67	-		
68	7.6%		
<b>National minima</b>			
69	6.5%		
70	8.0%		
71	10.0%		
<b>Amounts below the thresholds for deduction (before risk weighting)</b>			
72	1,017		r+s
73	3,179		Refer to note <sup>1</sup>
74			
75			
<b>Applicable caps on the inclusion of provisions in Tier 2</b>			
76	705		
77	593		
78	103		
79	558		
<b>Capital instruments subject to phase-out arrangements (only applicable between 1 Jan 2013 and 1 Jan 2022)</b>			
80			
81			
82	2,973		
83	36		
84	2,696		
85	-		

<sup>1</sup> The investments in the ordinary shares of unconsolidated major stake companies within the prescribed threshold amount in accordance with MAS Notice 637 paragraph 6.1.3 (p)(iii).

<sup>2</sup> The effective country-specific countercyclical buffer requirement for Hong Kong and Sweden was 0.625%. The weighting applicable for Hong Kong and Sweden were 11.7% and less than 0.1% respectively.